

Synopsis of Clean Water Loan Handbook Changes – November 2012

Handbook Form Number	Reference Numbers of Changes Made on Form	Narrative Explanation of Changes Made on Form
1-A	Addition of 8.i.-8.y.	Expanded pre-application form to provide earlier communication of new requirements and common problems.
2-A	DUNS #	Added field to include DUNS #.
2-A	5.	Removed section for additional Affected Water Bodies and replaced with an expandable radio button to provide additional water bodies if needed.
2-A	7.	Removed Infrastructure improvement from “other uses and outcomes.”
2-A	13.	Added reference for example financial control policies.
3-A	III.B.	Added language relating to debarred/suspended contractors.
3-A	III.E.	Added language relating to disadvantaged business enterprises.
3-A	III.F.	Amended language relating to acquisition of land, easements, etc.
3-A	III.G.	Removed language regarding occupational health and safety and bumped up subsequent general conditions.
3-A	III.M. and N.	Added new section on the Single Audit Act and that the invalidity of one loan condition will not invalidate the whole.
3-A	IV.E.	Update language on the need for a reserve account.
3-A	IV.Q.	Added language relating to the Davis Bacon Act.
3-A	V.	Amended language to specify time frame of returning project schedule.
3-A	V.C.	Expanded special conditions narrative.
3-A	VI.B.	Revised time frame and amount for reserve fund requirements.
3-A	VII.E.	Added language regarding request for increases in loan amount.
3-A	VII.G. and H.	Updated narrative to better explain triggers to withholding disbursements at the end of the project.
3-A	VIII.A.	Replaced revenue bond with promissory note.
3-A	VIII.B.	Removed percentage amount from the term.
3-A	XII.	Specified time frame of acceptance.
5-A	A.1.c.ii./iii.	Removed two items from checklist. The first item (on previous record of project completion) could lend itself to a high degree of subjectivity and the second item (on having certain procedures) would quickly lead into legal determinations outside of DEQ expertise.
5-A	B.	Amended opening sentence to refer to a “broad brush” environmental explanation in keeping with other references to a “broad brush” approach.
5-A	C.	Qualified opening sentence to be general in nature in keeping with other references in the initial guidance that the discussion be general in nature.
5-A	D.2.e.	Qualified that the discussion be general in nature. Removed the need to include consultation letters at that stage in the process.

		In second paragraph of section, asked for a more nuanced discussion of the environmental impacts of differing alternatives and removed erroneous cross-reference.
5-A	D.2.l.ii.(3). and D.2.m.i/ii./iii.	Made minor wording changes to make sentences read more clearly.
5-A	E.2.	Removed suggestion for the in-depth analysis of selected alternative, since we are still speaking about the analyses of the initial alternatives. This deletion requires a renumbering of the follow-on sections under "E.2."
5-A	E.5.	Clarified guidance on what is expected in the general comparison of alternatives by adding "broad brush." Also, suggested use of a chart to differentiate the various impacts of the alternatives.
5-A	E.6.	In the first paragraph, clarified when public participation is completed and where to find requirements for public input.
5-A	E.6.b./c./d./e./f./g.	Clarified nuances of public comment/input/review (i.e. consultations are not needed during this process, hearings are not required, length of comment period and technical wording changes to clarify meanings).
5-A	E.7.	Removed checklist item for discussion of "cost effectiveness." This was relevant to construction grants.
5-A	F.Intro/1./2./3./4.	Clarified section title to make clear that alternatives are recommendations.
5-A	F.5./6.	Made technical wording changes to clarify sentences.
5-A	G.	Added section to differentiate development of in-depth environmental document from the preceding discussion on the development of alternatives. Made technical wording changes to clarify sentences.
5-A	H.2.	Removed reference to the review of the user charge ordinance.
5-A	I.	Clarified final section to focus on user charge system and related ordinance as being approved as part of the loan process.
5-B	A. 4.	Added Nonpoint Source/GPR/other field.
5-B	D.6. d. 1.	Restated question regarding household income verification.
5-B	D.6. f. 1.	Restated question regarding floodplain zone.
5-B	D.6. h. 2.	Added clarification question regarding wild and scenic rivers.
5-B	D.6. i. Note	Modified THPO contact procedures.
5-B	D.6. l. 2.	Added clarification question regarding assessment of agricultural lands.
5-B	D.6.n.1.	Revised wording for water quality to specify beneficial uses.
5-B	D.6.n.2.	Revised wording to make consistent with current Surface Water program's approach.
5-B	Tier II	Split out Tier II environmental assessment requirements into a separate form.
5-C	Misc.	Replaced PER and facility plan with planning document throughout document.
5-C	C.25.	Added definition of "Planning Document."
5-C	H. Step 4	Added a new step about contacting agencies.
5-C	H. Step 5	Added last sentence to include EISs.

5-C	H. Step 6	Added new step about issuance of documents.
6-A	B.2.	Added links for suspension and debarment checks.
6-A	B.3.-6.	Moved to Section F of same form.
6-A	G.5.	Replaced OSHA insert requirement with Contractor's Compliance Statement (Form 6-I).
6-A	N.	Revised requirement for Green Project Reserve to include a technical memorandum by the Borrower.
6-C	Sections 12 and 13, Compliance Verification	Added text to explain that employee interviews (for Davis Bacon compliance) are only required when the Borrower conducts a risk assessment and concludes that noncompliance is likely.
6-C	K.	Added new section to succinctly state important Davis Bacon requirements.
6-F	II.	Updated submittal/form requirements, requirement for a bidder's list, and contract administration provisions.
6-K	Title	Changed title of form.
6-W	E. G. H.	Added new information for Davis Bacon, establishment of a DUNS number and debarment/suspension.
7-A	1.	Restated question for clarity.
7-A	4.	Added rule in regards to user rate review.
7-A	6.a. and 6.b. become questions #7. and #8.	Removed questions about intermunicipal agreements, and created separate questions on new form.
7-A	9.	Provided examples of a "basis" for a rate system.
7-A	Removed old questions #8. and #9.	Removed question regarding quantity discounts to large volume users. Questions posed covered highly unlikely eventualities.
7-A	12.-14.	Reworded checklist questions for clarity.
8-A	2.	Added question to remind stakeholders to proactively address the prequalification of major equipment.
8-A	4. (old question #3.)	Expanded narrative on bid advertising to provide better guidance to stakeholders.
8-A	5. (old question #4.)	Expanded narrative on bid advertising to provide better guidance to stakeholders.
8-A	Removed old question #7.	This requirement must be fulfilled prior to the award rather than prior to the bid.
8-A	Added questions 6.-13.	Improved transparency of current expectations for the bidding process. Moved and edited old questions 9-22 by incorporating them into questions 2-13.
8-A	Removed old questions 15., 18., 20., and 21.	Better identified when specification inserts and other Federal cross cutting requirements are due for completion. Provided better guidance on whom, exactly the various submissions need to be made to.
8-B	Title	Renamed title.
8-B	Bid Letter	Updated letter to reflect changes on form 8-A.
9-B	N.	Removed OSHA reference, as the OSHA requirements are too involved for DEQ to exercise significant oversight.

9-B	N.	Added instruction to mitigation measures sentence that changes to project scope may trigger the need to update the environmental document.
9-E	Title	Included Bid Package and Change Order #.
9-E	Misc.	Revised footer.
11-A	B.6.	Added employee interviews are being documented.
11-A	F.4.	Removed question regarding relationship between key individuals.
11-A	G.1.	Removed question regarding trades and numbers on site.
11-A	H.9.	Added qualifying statement.
11-A	H.10.	Removed question regarding contractor's payroll.
11-A	H.12.	Removed question regarding adequacy of construction equipment.
11-A	I.3.	Removed question regarding submittal of MBE/WBE.
11-A	I.5.	Edited discussion of job interviews to clarify that the interviews are only required if a risk assessment brings into doubt contractor compliance with Davis Bacon requirements.
11-A	M.	Added section for Required Signage/Posters.
11-E	Misc.	Reformatted footer.
11-E	16., 17. and 18.	Added question to address Green Project Reserve and cost, Davis Bacon and long-term mitigation.
12-A	Old Form	Removed old form, which provide guidance on filing of hard-copy documents (we have since gone to electronic documents).